



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(s): ROSENFELDT, Harald

SERIAL NO.: 10/500,981 ART UNIT:

FILING DATE: 07/02/2004 EXAMINER:

TITLE: POLARIZATION DIVERSITY DETECTION USING A  
POLARIZATION MULTIPLEXED LOCAL OSCILLATOR

ATTORNEY

DOCKET NO.: 860-011850-US (PAR) /20020565

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT  
(37 C.F.R. §1.97(b)(3))

Sir:

This information disclosure statement is being filed before the mailing of a first Office Action on the merits.

The following information is being disclosed to the Patent and Trademark Office as information that may be material to the examination of the above-identified patent application. Applicants' Attorney is aware of the following references:

EP 1 113 250 B1

EP 1 014 033 A2

EP 1 202 038 A1

JP 2000097856

K. Takada, K. Okamoto: "Frequency-domain measurement of phase error distribution in narrow-channel arrayed-waveguide grating"

Copies of these references are enclosed together with a Form PTO-1449 for the Examiner's use.

The filing of this Statement is not to be construed as a representation that a search has been made regarding the claimed invention (37 C.F.R. §1.97(g)) or that no other possible material information exists. In addition, the filing of this Information Disclosure Statement is not to be construed to be an admission that the information cited in the Statement is, or is considered to be, material to patentability (37 C.F.R. §1.97(h)).

The Commissioner is hereby authorized to charge payment for any fees associated with this communication or credit any over payment to Deposit Account No. 16-1350.

Respectfully submitted,

*Joseph V. Gamberdell, Jr.*  
Joseph V. Gamberdell, Jr.

Reg. No. 44,695

PERMAN & GREEN, LLP  
425 Post Road  
Fairfield, CT 06824  
Customer No. 2512

*March 7, 2005*  
Date

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date indicated below as first class mail in an envelope address to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: 3/9/05

Signature: Mike J. Marin  
Person Making Deposit

INFORMATION DISCLOSURE  <b>O I P CITATION FORM FOR PATENT APPLICATION MAR 11 2005 (FORM PTO-1449)</b> <b>(Substitute)</b>			Docket No.: 860-011850-US(PAR)/ 20020565  Applicant(s): ROSENFELDT  Filing Date: 07/02/2004  Group:			
<b>U.S. PATENTS</b>						
Initials	Patent Number	Issue Date	Name		Class	Sub-class
<b>U.S. PATENT PUBLICATIONS</b>						
Initials	Publication No.	Pub. Date	Name		Class	Sub-class
<b>FOREIGN PATENT DOCUMENTS</b>						
Initials	Document Number	Date	Country	Name		Translation? Yes/No/n/a
	EP 1 113 250 B1	2/5/03	Europe	Agilent Technologies, Inc.		N/A
	EP 1 014 033 A2	6/28/00	Europe	Anritsu Corporation		N/A
	EP 1 202 038 A1	5/2/02	Europe	Agilent Technologies, Inc.		N/A
	JP2000097856	4/7/00	Japan	Nippon Teleg & Teleph Corp.		YES
<b>OTHER DOCUMENTS (Title, Author, Date, Pages, Etc., if known)</b>						
	K. Takada, K. Okamoto: "Frequency-domain measurement of phase error distribution in narrow-channel arrayed-waveguide grating", Electronics Letters, Vol. 36, No. 2, January 20, 2000 pp. 160-161					
Examiner's Signature:			Date Considered:			
Initial if reference was considered, whether or not citation is in conformance with MPEP. Mark through citation if not considered. Include a copy of this citation form with your next correspondence to the Applicant(s).						